

LIPPER FUND FACT SHEETS FAQ

GENERAL PRODUCT

What types of Fund Fact Sheets are available to clients?

Lipper has multiple Fund Fact Sheet offerings available to our clients, all designed to meet specific needs and requirements. Lipper offers two Standard Fund Fact Sheet layouts, a one-page or two-page option. Lipper also has the capability to produce Custom Fund Fact Sheets, ranging from the ability to modify our standard layouts to fully custom designed Fund Fact Sheets that will meet your company's branding needs.

Within our US Standard product offering Lipper has two templates for each page design, one for equity funds and a second design for fixed-income funds. Currently with our Standard product offering, Fund Fact Sheets are only available on open-end funds. With our Custom offerings Lipper has the capabilities to produce Fund Fact Sheets for closed-end funds, variable underlying funds, and variable sub-accounts.

The Standard Fund Fact Sheet available throughout the rest of the world uses the same template for different fund types. The one page option is a basic fund summary, while the two-page template includes Top Ten Holdings and Allocations. Ex-US Fund Fact Sheets can be produced for any range of funds, which are available in Lipper Hindsight.

How frequently are Fund Fact Sheets produced?

Lipper has the capabilities to produce Fund Fact Sheets as often as you feel updates are warranted. Our standard production cycle is based on either monthly or quarterly Fund Fact Sheet production. Fund Fact Sheets are generally produced between 12-15 business days after month-end and are immediately available to clients once production has been completed. Depending on your data requirements, Fund Fact Sheets may be available earlier.

What delivery options are available for Fund Fact Sheets?

Lipper's standard delivery option for Fund Fact Sheets is to post PDF files to our FTP site. This allows our clients to retrieve Fund Fact Sheets when it is most convenient. We can also push your Fund Fact Sheets to your company's FTP site if required. We have found that electronic delivery of Fund Fact Sheets meets the needs of most of our clients, allowing them to easily place on inter- or intra-net sites, or work with print vendors with whom they already have relationships.

What benefits are associated with using Lipper to produce Fund Fact Sheets?

Outsourcing your Fund Fact Sheet production to Lipper provides you with many benefits including production time-savings, breadth of data, quality of data, inclusion of Lipper's proprietary Lipper Leaders ratings, and FINRA reviewed layout (Standard US Fund Fact Sheets only).

What changes to format are available with Lipper Fund Fact Sheets?

If you choose to use one of Lipper's Standard Fund Fact Sheet layouts, you have the ability to include your corporate logo on the Fund Fact Sheets and up to four lines of custom disclaimer language. If your firm feels additional changes are required, Lipper has the capabilities to make modifications ranging from minor changes to our standard templates all the way to working directly with you to design a Fund Fact Sheet that is unique and meets your exact specifications and needs. Pricing for customized Fund Fact Sheets is reviewed on a case-by-case basis by Lipper's Content Solutions Team.